

Anti-money laundering form

Nucleus client relations, PO BOX 26968, Glasgow G2 9DY.

Please complete and sign this form before sending it to us at the above address. If you have any questions please contact your Nucleus client relations manager who will be delighted to help.

This form provides confirmation that the verification of identity of a private individual has been performed by the adviser and the adviser is aware that Nucleus will rely on that Anti-money laundering (AML) and may request copies of verification on a sample basis.

Details of individual

Full name

Standard due diligence as set out within the guidance for the UK Financial Sector issued by the Joint Money Laundering Steering Group (JMLSG); or

Current address

Enhanced due diligence evidence as set out within the guidance for UK financial sector issued by JMLSG. (Written details of the further verification evidence taken are attached to this confirmation)

Signed (regulated adviser wet signature required)

Postcode

Previous address (if individual has changed address in the last three months)

Name

Position and FCA individual reference number

Postcode

Date

Date of birth

Details of introducing firm (or sole trader)

Full name of regulated firm (or sole trader)

Nationality

FCA firm reference number

Confirmation

I/We confirm that:

- the information above was obtained by me/us in relation to the client;
- the evidence I/we have obtained to verify the identity of the customer is either (please tick one box)

Important note

A separate confirmation must be completed for each customer (e.g. joint holders, trustee cases and joint life cases). Where a third party is involved e.g. a payer of contributions who is different from the customer, the identity of that person must also be verified, and a confirmation provided.